

10/727358

for DAC

USSN: 10/727,358

ATTORNEY DOCKET NO.: 1216-1-006/CIP



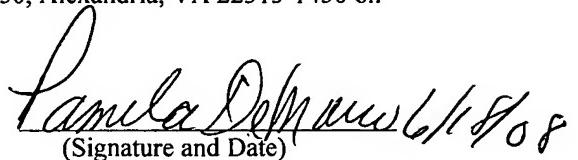
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTEE(S) : Richard N. Kolesnick et al.  
PATENT NO. : 7,301,017 B2  
ISSUED : November 27, 2007  
FOR : KINASE SUPPRESSOR OF RAS INACTIVATION FOR  
THERAPY OF RAS MEDIATED TUMORIGENESIS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 18, 2008.

Pamela De Marco  
(Name of Depositor)

  
(Signature and Date)

REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 37 CFR 1.323

Certificate of Corrections Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 CFR 1.323, the Patent and Trademark Office is respectfully requested to issue a Certificate of Correction in the above-identified Patent to correct an error in the Assignee on the face of the Patent (Item [75]). The Assignee should read

- Memorial Sloan-Kettering Cancer Center, New York,  
New York 10021 -

An Assignment to Memorial Sloan-Kettering Cancer Center was filed on February 18, 2004 and recorded by the U.S. PTO February 20, 2004. A copy of the Assignment documents and the Notice of Recordation is provided herewith. The instant patent issued November 27, 2007. Applicant thus states and asserts that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of a patent.

Please issue a Certificate of Correction and place such Certificate in the file, so that such will appear on any copies of the Patent which are ordered in the future. Applicants enclose is a check in the amount of \$130.00 for the processing fee set forth in 37 CFR 1.17(i). Applicants previously submitted a Request for Certificate of Correction to correct assignment data and fee of \$100.00, therefore Applicant respectfully asserts that no additional or further fees are required. However, should this be erroneous, authorization is hereby given to charge Deposit Account No. 11-1153 for any underpayment, or credit any overages.

Two (2) copies of Form PTO-1050 embodying the requested correction accompany this letter. Return of one copy of the Form duly certified is requested.

Respectfully submitted,



Christine E. Dietzel, Ph.D.  
Agent for Applicant(s)  
Registration No. 37,309

KLAUBER & JACKSON, LLC  
411 Hackensack Avenue  
Hackensack, NJ 07601  
(201) 487-5800

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,301,017

Page 1 of 1

APPLICATION NO.: 10/727,358

ISSUE DATE : November 27, 2007

INVENTOR(S) : Richard N. Kolesnick, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Memorial Sloan-Kettering Cancer Center, New York, New York 10021

## MAILING ADDRESS OF SENDER (Please do not use customer number below):

Klauber &amp; Jackson LLC

411 Hackensack Avenue  
Hackensack, NJ 07601

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 16, 2004

PTAS



\*102676473A\*

KLAUBER & JACKSON  
DAVID A. JACKSON, ESQ.  
411 HACKENSACK AVENUE, 4TH FLOOR  
HACKENSACK, NJ 07601

### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/20/2004

REEL/FRAME: 014991/0364  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 12161006CIP

ASSIGNOR:  
KOLESNCIK, RICHARD N.

DOC DATE: 02/13/2004

ASSIGNOR:  
XING, HONGMEI R.

DOC DATE: 02/13/2004

ASSIGNEE:  
MEMORIAL SLOAN-KETTERING CANCER  
CENTER  
1275 YORK AVENUE  
NEW YORK, NEW YORK 10021

SERIAL NUMBER: 10727358  
PATENT NUMBER:  
TITLE: KINASE SUPPRESSOR OF RAS INACTIVATION FOR THERAPY OF RAS MEDIATED  
TUMORIGENESIS

FILING DATE: 12/03/2003

ISSUE DATE:

KAZENA MARTIN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

02-24-2004

FORM PTO-1595  
(Rev. 6/93)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

2/21/04

102676473

HIEET

Attorney Docket No. 1216-1-006CIP

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Richard N. Kolesncik and Hongmei R. Xing

Additional name(s) of conveying party(ies) attached? [ ] Yes [X] No

## 3. Nature of conveyance:

Assignment  
 Security Agreement

Merger  
 Change of Name

Other: \_\_\_\_\_

Execution Date: February 13, 2004 (respectively)

## 2. Name and address of receiving party(ies):

Name: Memorial Sloan-Kettering Cancer CenterAddress: 1275 York AvenueNew York, NY 10021

Additional name(s) &amp; address(es) attached? [ ] Yes [X] No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/727,358

B. Patent No.(s)

FEB 20 AM 8  
OPR/FINNACE  
RECEIVED  
U.S. PATENT AND TRADEMARK OFFICE

Additional numbers attached? [ ] Yes [X] No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.Address: KLAUBER & JACKSON  
411 Hackensack Avenue, 4th Floor  
Hackensack, New Jersey 076016. Total number of applications and patents involved: 27. Total fee (37 CFR 3.41): \$40.00 Enclosed Authorized to be charged to deposit account, if necessary -  
for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Christine E. Dietzel, Reg. No. 37,309  
Name of Person Signing

Signature

2/18/04  
DateTotal number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services  
 Director of the US Patent and Trademark Office  
 PO Box 1450  
 Alexandria, VA 22313-1450

12/23/2004 DBYRME 00000030 10727358

1 FC:8021

40.00 DP

A S S I G N M E N T

WHEREAS, WE,

Richard N. Kolesncik, a citizen of the United States, residing at  
343 E. 74th Street, Apt. 18A  
New York, NY 10021; and

Hongmei R. Xing, a citizen of Canada, residing at  
539 West 112th Street, Apt. 6C  
New York, NY 10025;

have invented certain new and useful improvements in

**KINASE SUPPRESSOR OF RAS INACTIVATION FOR THERAPY OF RAS  
MEDIATED TUMORIGENESIS**

for which we have filed an Application for Letters Patent in the United States on December 3, 2003, and was accorded U.S. Serial No. 10/727,358; and

WHEREAS,                   Memorial Sloan-Kettering Cancer Center,  
                                  a corporation organized and existing under  
                                 the laws of the State of New York,  
                                 with office at 1275 York Avenue, New York, NY 10021,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

Richard N. Kolesnick and Hongmei R. Xing

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

Memorial Sloan-Kettering Cancer Center,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we hereby authorize and

request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

Memorial Sloan-Kettering Cancer Center,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

Memorial Sloan-Kettering Cancer Center,

its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

Memorial Sloan-Kettering Cancer Center,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 2/13, 2004

  
Richard N. Kolesnick L.S.

Date 2/13, 2004

  
Hongmei R. Xing L.S.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

Date Mailed : June 2, 2008

Patent No. : 7,301,017 B2  
Inventor : Kolesnick et al  
Patent Issued : November 27, 2007  
Title : **KINASE SUPPRESSOR OF RAS INACTIVATION FOR THERAPY OF RAS  
MEDIATED TUMORIGENESIS**  
Docket No. : 1216-1-006CIP

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of 37 CFR 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Fee(s) Transmittal Form PTOL-85B. After payment of the issue fee, correction of assignment data submitted on the PTOL-85B can only be done by Certificate of Correction under 37 CFR 1.323, with a request under 37 CFR 3.81(b).

A request for a patent to be corrected to state the name of the assignee must:

- A. state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- B. include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- C. include the processing fee set forth in 37 CFR 1.17(i).

If the request is granted, Certificates of Correction Branch will be notified that a Certificate of Correction may be issued.

See Manual of Patent Examining Procedure, Section 1481.01 (Rev. 3) (Oct. 2005).

*Applicant has not included items A and or C above, accordingly, the request for Certificate of Correction to add or change the assignee data is dismissed.*

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

1216-1-006 CIP

By fax: 571-273-8300  
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

David Irvine  
For Cecilia Newman  
Decisions & Certificates of Correction Branch  
703/308-9590 (TTY) or 703/305-8309

RECEIVED

Christine E Dietzel  
Klauber & Jackson LLC  
411 Hackensack Avenue  
Hackensack, N.J. 07601

JUN 04 2008

KLAUBER & JACKSON

DL/MJD